

UAlacant machine translation quality estimation at WMT 2018: a simple approach using phrase tables and feed-forward neural networks

Miquel Esplà-Gomis Felipe Sánchez-Martínez Mikel L. Forcada

Departament de Llenguatges i Sistemes Informàtics

Universitat d'Alacant, E-03071 Alacant, Spain

{mespla, fsanchez, mlf}@dlsi.ua.es

Abstract

We describe the Universitat d'Alacant submissions to the word- and sentence-level machine translation (MT) quality estimation (QE) shared task at WMT 2018. Our approach to word-level MT QE builds on previous work to mark the words in the machine-translated sentence as *OK* or *BAD*, and is extended to determine if a word or sequence of words need to be inserted in the gap after each word. Our sentence-level submission simply uses the edit operations predicted by the word-level approach to approximate TER. The method presented ranked first in the sub-task of identifying insertions in gaps for three out of the six datasets, and second in the rest of them.

1 Introduction

This paper describes the Universitat d'Alacant submissions to the word- and sentence-level machine translation (MT) quality estimation (QE) shared task at WMT 2018 (Specia et al., 2018). Our approach is an extension of a previous approach (Esplà-Gomis et al., 2015a,b; Esplà-Gomis et al., 2016) in which we simply marked the words t_j of a machine-translated segment T as *OK* (no changes are needed) or as *BAD* (needing editing). Now we also mark the gaps γ_j after each word t_j as *OK* (no insertions are needed) or as *BAD* (needing the insertion of one or more words). In addition, we use the edit operations predicted at the word level to estimate quality at the sentence level.

The paper is organized as follows: section 2 briefly reviews previous work on word-level MT QE; section 3 describes the method used to label words and gaps, paying special attention to the features extracted (sections 3.1 and 3.2) and the neural network (NN) architecture and its training (section 3.3); section 4 describes the datasets used; section 5 shows the main results; and, finally, section 6 closes the paper with concluding remarks.

2 Related work

Pioneering work on word-level MT QE dealt with predictive/interactive MT (Gandraber and Foster, 2003; Blatz et al., 2004; Ueffing and Ney, 2005, 2007), often under the name of *confidence estimation*. Estimations relied on the internals of the actual MT system—for instance, studying the n -best translations (Ueffing and Ney, 2007)—or used external sources of bilingual information; for instance, both Blatz et al. (2004) and Ueffing and Ney (2005) used probabilistic dictionaries; in the case of Blatz et al. (2004), as one of many features in a binary classifier for each word.

The last decade has witnessed an explosion of work in word-level MT QE, with most of the recent advances made by participants in the shared tasks on MT QE at the different editions of the Conference on Statistical Machine Translation (WMT). Therefore, we briefly review those papers related to our approach: those using an external bilingual source such as an MT system and those using NN.

As regards work using *external bilingual resources*, we can highlight four groups of contributions:

- To estimate the sentence-level quality of MT output for a source segment S , Biçici (2013) chooses sentence pairs from a parallel corpus which are close to S , and builds an SMT system whose internals when translating S are examined to extract features.
- MULTILIZER, one of the participants in the sentence-level MT QE task at WMT 2014 (Bojar et al., 2014) uses other MT systems to translate S into the target language (TL) and T into the source language (SL). The results are compared to the original SL and TL segments to obtain indicators of quality.

- [Blain et al. \(2017\)](#) use *bilexical embeddings* (obtained from SL and TL word embeddings and word-aligned parallel corpora) to model the strength of the relationship between SL and TL words, in order to estimate sentence-level and word-level MT quality.
- Finally, [Esplà-Gomis et al. \(2015a,b\)](#), and [Esplà-Gomis et al. \(2016\)](#) perform word-level MT QE by using other MT systems to translate sub-segments of S and T and extracting features describing the way in which these translated sub-segments match sub-segments of T . This is the work most related to the one presented in this paper.

Only the last two groups of work actually tackle the problem of *word-level* MT QE, and none of them are able to identify the gaps where insertions are needed.

As regards the use of *neural networks* (NN) in MT QE, we can highlight a few contributions:

- [Kreutzer et al. \(2015\)](#) use a deep feed-forward NN to process the concatenated vector embeddings of neighbouring TL words and (word-aligned) SL words into feature vectors—extended with the baseline features provided by WMT15 ([Bojar et al., 2015](#)) organizers—to perform word-level MT QE.
- [Martins et al. \(2016\)](#) achieved the best results in the word-level MT QE shared task at WMT 2016 ([Bojar et al., 2016](#)) by combining a feed-forward NN with two recurrent NNs whose predictions were fed into a linear sequential model together with the baseline features provided by the organizers of the task. An extension ([Martins et al., 2017](#)) uses the output of an automatic post-editing tool, with a clear improvement in performance.
- [Kim et al. \(2017a,b\)](#) obtained in WMT 2017 ([Bojar et al., 2017](#)) results which were better or comparable to those by [Martins et al. \(2017\)](#), using a three-level stacked architecture trained in a multi-task fashion, combining a neural word prediction model trained on large-scale parallel corpora, and word- and sentence-level MT QE models.

Our approach uses a much simpler architecture than the last two approaches, containing no recurrent NNs, but just feed-forward NNs applied to a fixed-length context window around the word or

gap about which a decision is being made (similarly to a convolutional approach). This makes our approach easier to train and parallelize.

3 Method

The approach presented here builds on previous work by the same authors ([Esplà-Gomis et al., 2015a,b](#); [Esplà-Gomis et al., 2016](#)) in which insertion positions were not yet predicted and a slightly different feature set was used. As in the original papers, here we use black-box bilingual resources from the Internet. In particular, we use, for each language pair, the statistical MT phrase tables available at OPUS¹ to spot sub-segment correspondences between the SL segment S and its machine translation T into the TL (see section 4.2 for details). This is done by dividing both S and T into all possible (overlapping) sub-segments, or n -grams, up to a certain maximum length.² These sub-segments are then translated into the TL and the SL, respectively, by means of the phrase tables mentioned (lowercasing of sub-segments before and after translation is used to increase the chance of a match). These sub-segment correspondences are then used to extract several sets of features that are fed to a feed-forward NN in order to label the words and the gaps between words as *OK* or as *BAD*. One of the main advantages of this approach, when compared to the other approaches described below, is that it uses simple string-level bilingual information extracted from a publicly available source to build features that allow us to easily estimate quality for the words and inter-word gaps in T .

3.1 Features for word deletions

We define three sets of features to detect the words to be deleted: one taking advantage of the sub-segments τ that appear in T , $\text{Keep}_n(\cdot)$; another one that uses the translation frequency with which a sub-segment σ in S is translated as the sub-segment τ in T , $\text{Freq}_n^{\text{keep}}(\cdot)$; and a third one that uses the alignment information between T and τ and which does not require τ to appear as a contiguous sub-segment in T , $\text{Align}_n^{\text{keep}}(\cdot)$.

Features for word deletions based on sub-segment pair occurrences (Keep) Given a set of sub-segment pairs $M = \{(\sigma, \tau)\}$ coming from the union of several phrase tables, the first set of features, $\text{Keep}_n(\cdot)$, is obtained by computing the

¹<http://opus.nlpl.eu/>

²For our submission, we used $L = 5$.

amount of sub-segment translations $(\sigma, \tau) \in M$ with $|\tau| = n$ that confirm that word t_j in T should be kept in the translation of S . A sub-segment translation (σ, τ) confirms t_j if σ is a sub-segment of S , and τ is an n -word sub-segment of T that covers position j . This set of features is defined as follows:

$$\text{Keep}_n(j, S, T, M) = \frac{|\{\tau : (\sigma, \tau) \in \text{conf}_n^{\text{keep}}(j, S, T, M)\}|}{|\{\tau : \tau \in \text{seg}_n(T) \wedge j \in \text{span}(\tau, T)\}|}$$

where $\text{seg}_n(X)$ represents the set of all possible n -word sub-segments of segment X , and function $\text{span}(\tau, T)$ returns the set of word positions spanned by the sub-segment τ in the segment T ; if τ is found more than once in T , it returns all the possible positions spanned. Function $\text{conf}_n^{\text{keep}}(j, S, T, M)$ returns the collection of sub-segment pairs (σ, τ) that confirm a given word t_j , and is defined as:

$$\text{conf}_n^{\text{keep}}(j, S, T, M) = \{(\sigma, \tau) \in \text{match}_n(M, S, T) : j \in \text{span}(\tau, T)\}$$

where $\text{match}_n(M, S, T)$ is the set of phrase pairs $(\sigma, \tau) \in M$ such that $\sigma \in \text{seg}_*(S)$ and $\tau \in \text{seg}_n(T)$, and where $\text{seg}_*(S) = \cup_{n=1}^{\infty} \text{seg}_n(S)$.³

Features for word deletions based on sub-segment pair occurrences using translation frequency ($\text{Freq}_n^{\text{keep}}$) The second set of features uses the probabilities of subsegment pairs. To obtain these probabilities from a set of phrase tables, we first use the count of joint occurrences of (σ, τ) provided in each phrase table. Then, when looking up a SL sub-segment σ , the probability $p(\tau|\sigma)$ is computed across all phrase tables from the accumulated counts. Finally, we define $\text{Freq}_n^{\text{keep}}(\cdot)$ as:

$$\text{Freq}_n^{\text{keep}}(j, S, T, M) = \sum_{(\sigma, \tau) \in \text{conf}_n^{\text{keep}}(j, S, T, M)} p(\tau|\sigma).$$

Features for word deletions based on word alignments of partial matches ($\text{Align}_n^{\text{keep}}$) The third set of features takes advantage of partial matches, that is, of sub-segment pairs (σ, τ) in which τ does not appear as such in T . This set of features is defined as:

$$\text{Align}_n^{\text{keep}}(j, S, T, M, e) = \sum_{\tau \in \text{segs_edop}_n(j, S, T, M, e)} \frac{|\text{LCS}(\tau, T)|}{|\tau|} \quad (1)$$

³Esplà-Gomis et al. (2015a) conclude that constraining only the length of τ leads to better results than constraining both σ and τ .

where $\text{LCS}(X, Y)$ returns the word-based longest common sub-sequence between segments X and Y , and $\text{segs_edop}_n(j, S, T, M, e)$ returns the set of sub-segments τ of length n from M that are a translation of a sub-segment σ from S and in which, after computing the LCS with T , the j -th word t_j is assigned the edit operation e :⁴

$$\text{segs_edop}_n(j, S, T, M, e) = \{(\tau : (\sigma, \tau) \in M \wedge \sigma \in \text{seg}_*(S) \wedge |\tau| = n \wedge \text{editop}(t_j, T, \tau) = e)\} \quad (2)$$

where $\text{editop}(t_j, T, \tau)$ returns the edit operation assigned to t_j and e is either `delete` or `match`. If $e = \text{match}$ the resulting set of features provides evidence in favour of keeping the word t_j unedited, whereas when $e = \text{delete}$ it provides evidence in favour of removing it. Note that features $\text{Align}_n^{\text{keep}}(\cdot)$ are the only ones to provide explicit evidence that a word should be deleted.

The three sets of features described so far, $\text{Keep}_n(\cdot)$, $\text{Freq}_n^{\text{keep}}(\cdot)$, and $\text{Align}_n^{\text{keep}}(\cdot)$, are computed for t_j for all the values of sub-segment length $n \in [1, L]$. Features $\text{Keep}_n(\cdot)$ and $\text{Freq}_n^{\text{keep}}(\cdot)$ are computed by querying the collection of sub-segment pairs M in both directions (SL–TL and TL–SL). Computing $\text{Align}_n^{\text{keep}}(\cdot)$ only queries M in one direction (SL–TL) but is computed twice: once for the edit operation `match`, and once for the edit operation `delete`.

3.2 Features for insertion positions

In this section, we describe three sets of features—based on those described in section 3.1 for word deletions—designed to detect insertion positions. The main difference between them is that the former apply to words, while the latter apply to gaps; we will call γ_j the gap after word t_j .⁵

Features for insertion positions based on sub-segment pair occurrences (`NoInsert`) The first set of features, `NoInsert` _{n} (\cdot), based on the $\text{Keep}_n(\cdot)$ features for word deletions, is defined as follows:

$$\text{NoInsert}_n(j, S, T, M) = \frac{|\{\tau : (\sigma, \tau) \in \text{conf}_n^{\text{noins}}(j, S, T, M)\}|}{|\{\tau : \tau \in \text{seg}_n(T) \wedge [j, j+1] \subseteq \text{span}(\tau, T)\}|}$$

⁴Note that the sequence of edit operations needed to transform X in Y is a by-product of computing $\text{LCS}(X, Y)$; these operations are `insert`, `delete` or `match` (when the corresponding word does not need to be edited).

⁵Note that the index of the first word in T is 1, and gap γ_0 corresponds to the space before the first word in T .

where function $\text{conf}_n^{\text{noins}}(j, S, T, M)$ returns the collection of sub-segment pairs (σ, τ) covering a given gap γ_j , and is defined as:

$$\text{conf}_n^{\text{noins}}(j, S, T, M) = \{(\sigma, \tau) \in \text{match}_n(M, S, T) : [j, j+1] \subseteq \text{span}(\tau, T)\}$$

$\text{NoInsert}_n(\cdot)$ accounts for the number of times that the translation of sub-segment σ from S makes it possible to obtain a sub-segment τ that covers the gap γ_j , that is, a τ that covers both t_j and t_{j+1} . If a word is missing in gap γ_j , one would expect to find fewer sub-segments τ that cover this gap, therefore obtaining low values for $\text{NoInsert}_n(\cdot)$, while if there are no words missing in γ_j , one would expect more sub-segments τ to cover the gap, therefore obtaining values of $\text{NoInsert}_n(\cdot)$ closer to 1. In order to be able to identify insertion positions before the first word or after the last word, we use imaginary sentence boundary words t_0 and $t_{|T|+1}$, which can also be matched,⁶ thus allowing us to obtain evidence for gaps γ_0 and $\gamma_{|T|}$.

Features for insertion positions based on sub-segment pair occurrences using translation frequency ($\text{Freq}_n^{\text{noins}}$) Analogously to $\text{Freq}_n^{\text{keep}}(\cdot)$ above, we define the feature set $\text{Freq}_n^{\text{noins}}(\cdot)$, now for gaps:

$$\begin{aligned} \text{Freq}_n^{\text{noins}}(j, S, T, M) &= \\ &= \sum_{(\sigma, \tau) \in \text{conf}_n^{\text{noins}}(j, S, T, M)} p(\tau|\sigma) \end{aligned}$$

Features for insertion positions based on word alignments of partial matches ($\text{Align}_n^{\text{noins}}$) Finally, the set of features $\text{Align}_n^{\text{keep}}(\cdot)$ for word deletions can be easily repurposed to detect the need for insertions by setting the edit operation e in eq. (1) to match and insert and redefining eq. (2) as

$$\begin{aligned} \text{segs_edop}_n(j, S, T, M, e) &= \{\tau : (\sigma, \tau) \in M \\ &\quad \wedge \sigma \in \text{seg}_*(S) \wedge |\tau| = n \\ &\quad \wedge \text{editop}(t_j, \tau, T) = e\} \end{aligned}$$

where the LCS is computed between τ and T , rather than the other way round.⁷ We shall refer

⁶These boundary words are annotated in M when this resource is built.

⁷It is worth noting that $\text{LCS}(X, Y) = \text{LCS}(Y, X)$, but the sequences of edit operations obtained as a by-product are different in each case.

to this last set of features for insertion positions as $\text{Align}_n^{\text{noins}}(\cdot)$.

The sets of features for insertion positions, $\text{NoInsert}_n(\cdot)$, $\text{Freq}_n^{\text{noins}}(\cdot)$ and $\text{Align}_n^{\text{noins}}(\cdot)$, are computed for gap γ_j for all the values of sub-segment length $n \in [2, L]$. As in the case of the feature sets employed to detect deletions, the first two sets are computed by querying the set of sub-segment pairs M via the SL or via the TL, while the latter can only be computed by querying M via the SL for the edit operations insert and match .

3.3 Neural network architecture and training

We use a two-hidden-layer feed-forward NN to jointly predict the labels (*OK* or *BAD*) for word t_j and gap γ_i , using features computed at word positions $t_{i-C}, t_{i-C+1}, \dots, t_{i-1}, t_i, t_{i+1}, \dots, t_{i+C-1}, t_{i+C}$ and at gaps $\gamma_{i-C}, \gamma_{i-C+1}, \dots, \gamma_{i-1}, \gamma_i, \gamma_{i+1}, \dots, \gamma_{i+C-1}, \gamma_{i+C}$, where C represents the amount of left and right context around the word and gap being predicted.

The NN architecture has a modular first layer with ReLU activation functions, in which the feature vectors for each word and gap, with F and G features respectively, are encoded into intermediate vector representations (“embeddings”) of the same size; word features are augmented with the baseline features provided by the organizers. The weights for this first layer are the same for all words and for all gaps (parameters are tied). A second layer of ReLU units combines these representations into a single representation of the same length $(2C+1)(F+G)$. Finally, two sigmoid neurons in the output indicate, respectively, if word t_i has to be tagged as *BAD*, or if gap γ_i should be labelled as *BAD*. Preliminary experiments confirmed that predicting word and gap labels with the same NN led to better results than using two independent NNs.

The output of each of the sigmoid output units is additionally independently thresholded (Lipton et al., 2014) using a line search to establish thresholds that optimize the product of the F_1 score for *OK* and *BAD* categories on the development sets. This is done since the product of the F_1 scores is the main metric of comparison of the shared task, but it cannot be directly used as the objective function of the training as it is not differentiable.

Training was carried out using the Adam stochastic gradient descent algorithm to optimize cross-entropy. A dropout regularization of 20%

Dataset	sentence-level				word-level (words)			word-level (gaps)		
	r	MAE	RMSE	ρ	F_{BAD}	F_{OK}	F_{MULTI}	F_{BAD}	F_{OK}	F_{MULTI}
EN-DE SMT	0.45	0.15	0.19	0.44	0.35	0.81	0.29	0.33	0.96	0.32
EN-DE NMT	0.35	0.14	0.20	0.41	0.22	0.86	0.19	0.12	0.98	0.12
DE-EN SMT	0.63	0.12	0.17	0.60	0.43	0.87	0.37	0.33	0.97	0.32
EN-LV SMT	0.36	0.20	0.26	0.34	0.27	0.82	0.22	0.15	0.94	0.14
EN-LV NMT	0.56	0.17	0.22	0.55	0.44	0.80	0.36	0.17	0.95	0.16
EN-CS SMT	0.43	0.18	0.23	0.46	0.42	0.75	0.31	0.15	0.95	0.15

Table 1: Results for sentence-level MT QE (columns 2–5) in terms of the Pearson’s correlation r , MAE, RMSE, and Sperman’s correlation ρ (for ranking). Results for the task of word labelling (columns 6–8) and gap labelling (columns 9–11) in terms of the F_1 score for class *BAD* (F_{BAD}), the F_1 score for class *OK* (F_{OK}) and the product of both (F_{MULTI}).

for the language pair DE-EN (SMT). Surprisingly, the results obtained for EN-LV (NMT) were also specially high for word-level and sentence-level MT QE. These results for the latter language pair are unexpected for two reasons: first, because no baseline features were available for word-level MT QE task for this language pair, and second, because the size of the parallel corpora from which phrase tables for this language pair were extracted were an order of magnitude smaller. One may think that the coverage of machine translation by the phrase tables could have an impact on these results. To confirm this, we checked the fraction of words in each test set that were not covered by any sub-segment pair (σ, τ) . This fraction ranges from 15% to 4% depending on the test set, and has the lowest value for EN-LV (NMT); however, it is not clear that a higher coverage always leads to a better performance as one of the datasets with a better coverage was EN-LV (SMT) (5%) which, in fact, obtained the worst results in our experiments.

It is worth noting that, when looking at the results obtained by other participants, the differences in performance between the different datasets seems to be rather constant, showing, for example, a drop in performance for EN-DE (NMT) and EN-LV (SMT); this lead us to think that the test set might be more difficult in these cases. One thing that we could confirm is that, for these two datasets, the ratio of *OK/BAD* samples for word-level MT QE is lower, which may make the classification task more difficult.

In comparison with the rest of systems participating in this task, UAlacant was the best-performing one in the sub-task of labelling gaps for 3 out of the 6 datasets provided (DE-EN SMT, EN-LV SMT, and EN-LV NMT). Results obtained for the sub-task of labelling words were

poorer and usually in the lower part of the classification. However, the sentence-level MT QE submissions, which build on the labels predicted for words and gaps by the word-level MT QE system, performed substantially better and outperformed the baseline for all the datasets but EN-DE (NMT) and, for EN-LV (NMT), it even ranked third.

As said above, one of the main advantages of this approach is that it can be trained with limited computational resources. In our case, we trained our systems on a AMD Opteron(tm) Processor 6128 CPU with 16 cores and, for the largest set of features (dataset DE-EN SMT), training took 2,5 hours, about 4 minutes per epoch.¹¹

6 Concluding remarks

We have presented a simple MT word-level QE method that matches the content of publicly available statistical MT phrase pairs to the source segment S and its machine translation T to produce a number of features at each word and gap. To predict if the current word has to be deleted or if words have to be inserted in the current gap, the features for the current word and gap and C words and gaps to the left and to the right are processed by a two-hidden-layer feed-forward NN. When compared with other participants in the WMT 2018 shared task, our system ranks first in labelling gaps for 3 of the 6 language pairs, but does not perform too well in labelling words. We also used word-level estimations to approximate TER. We participated with this approximation in the sentence-level MT QE sub-task obtaining a reasonable performance ranking, for almost all datasets, above the baseline.

One of the main advantages of the work presented here is that it does not require huge com-

¹¹Total training time corresponds to 35 epochs.

putational resources, and it can be trained even on a CPU in a reasonable time.

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